



SHIVA GLOBAL AGRO INDUSTRIES LTD.

'Shiva House', New Mondha, Nanded - 431 602 (M.S.)
Tel.: 02462 - 284036, 284039 * Fax : 02462 - 284729
E-mail : shivaagro1@gmail.com * Web : www.shivaagro.org
CIN : L24120MH1993PLC070334



April 26, 2024

To
BSE Limited,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort,
Mumbai - 400001.

Company Code: 530433

Sub: Submission of certificate under Regulation 40(9) & 40(10) of the SEBI
(Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir,

Pursuant to the Regulation 40(9) & (10) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Compliance Certificate from a practicing Company Secretary for the financial year ended March 31, 2024 is enclosed herewith.

We request you to take this on record.

Thanking you,

Yours faithfully,
For Shiva Global Agro Industries Ltd.

Rashmi Ganesh Agrawal
Company Secretary & Compliance Officer

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA
(LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015**

FOR THE FINANCIAL YEAR 01ST APRIL 2023 TO 31ST MARCH, 2024

The Securities and Exchange Board of India (SEBI) vide gazette notification dated 8th June, 2018 and vide its press release dated 3rd December, 2018, amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and had mandated transfer of securities only in dematerialized form w.e.f. 01st April, 2019.

Further, SEBI vide gazette notification dated 24th January, 2022 read with SEBI circular no. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2022/8 dated 25th January, 2022 had mandated issuance of certificates or receipts or advices, as applicable in dematerialized form only, while processing the service requests relating to Issue of duplicate securities certificate, Claim from Unclaimed Suspense Account, Renewal / Exchange of securities certificate, Endorsement, Subdivision / Splitting of securities certificate, Consolidation of securities certificates/folios, Transmission and Transposition.

Accordingly, on the basis of examination of the relevant documents relating to **M/s. SHIVA GLOBAL AGRO INDUSTRIES LIMITED** ('the Company') maintained and provided electronically by **M/s. AARTHI CONSULTANTS PRIVATE LIMITED**, Registrar and Share Transfer Agents (RTA), pertaining to the period from 01st April, 2023 to 31st March, 2024 for the purpose of issuing a Certificate as per Regulation 40(9) of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, We hereby certify that:

- A. 1.a. No Share Certificate requesting transfer during the period from 01st April, 2023 to 31st March, 2024 has been processed in view of restriction on processing transfer in physical mode pursuant to clause 40(1) of the Listing Regulations.

B) Share Certificate(s) in respect of request received during the period from 01st April, 2023 to 31st March, 2024 for transmission sub-division, consolidation, renewal, exchange or endorsement of call/allotment monies within 30 days from respective date of lodgement of each deed excepting those rejected on technical grounds - NIL

C) Any other information, If applicable: --

Yours faithfully,

For V&V Co. LLP
Practising Company Secretaries
FRN: L2017KR003100
P.R No - 1608/2021

VINAYAK
NARASIMHA
BHAT

Digitally signed by
VINAYAK NARASIMHA
BHAT
Date: 2024.04.24
18:11:42 +05'30'

CS. Vinayak Bhat
Partner

M. No: F10889; CP. No:14286
UDIN: F010889F000232055

Date: 24th April 2024
Place: Bangalore